# **Additional Properties of Subspaces**

©Philip Pennance<sup>1</sup> Draft: – April 2019.

1. Notation.

Let V be a vector space. If W is a subspace of W we write  $W \triangleleft V$ .

2. Claim.

Let V be a vector space.

$$W, W' \triangleleft V \implies W \cap W' \triangleleft V.$$

Proof.

(a) Since W and W' are both vector spaces,  $O_V \in W \cap W'$  and, therefore,

$$W \cap W' \neq \emptyset$$
.

(b) Let  $u, v \in W \cap W'$ . Then  $u, v \in W$ . Since W is a subspace,  $u + v \in W$ . Similarly,  $u + v \in W'$  and so

$$u+v\in W\cap W'$$
.

(c) Finally, let c be a scalar and  $u \in W \cap W'$ . Since W is a subspace,  $cu \in W$ . Similarly  $cu \in W'$  and hence

$$cu \in W \cap W'$$
.

Hence, by the subspace criterion

$$W \cap W' \lhd V$$
.

Remark: This result is a special case of:

3. Claim.

Let W be the set of subspaces of a vector space V.

$$A \subseteq W \implies \bigcap A \in W$$

I.e., W is a closure system on V.

4. Claim.

Let V be a vector space. Then

$$S \subseteq V \implies \langle S \rangle \triangleleft V.$$

5. Claim.

Let V be a vector space and  $S \subseteq V$ .

$$\langle S \rangle = \bigcap \{ W \in \mathcal{W} : S \subseteq W \}.$$

I.e., The span of S coincides with its closure.

6. Claim.

Span is extensive, isotonic and idempotent. I.e., (respectively)

- (a)  $A \subseteq \langle A \rangle$ .
- (b)  $A \subseteq B \implies \langle A \rangle \subseteq \langle B \rangle$ .
- (c)  $\langle \langle A \rangle \rangle = \langle A \rangle$ .

7. Claim.

$$W \lhd V \implies \langle W \rangle = W \tag{1}$$

8. Claim.

Let V be a vector space. Then

$$W, W' \triangleleft V \implies W + W' \triangleleft V.$$

where

$$W + W' = \{w + w' : w \in W, \quad w' \in W'\}.$$

9. Definition.

Let V be a vector space and  $W, W' \triangleleft V$ . If  $W \cap W' = \{0_V\}$  then W + W' is denoted  $W \oplus W'$  and is called the *direct sum* of W and W'. In this case W and W' are said to be *supplementary* subspaces.

10. Claim.

If  $V = W_1 \oplus W_2$  and  $v \in V$ , there exist unique  $w_1 \in W$  and  $w_2 \in W_2$  such that

$$v = w_1 + w_2.$$

Proof.

Suppose that

$$v = w_1 + w_2$$
  
=  $w'_1 + w'_2$ .

<sup>&</sup>lt;sup>1</sup>http://pennance.us

then

$$W_1 \ni w_1 - w_1' = w_2 - w_2' \in W_2.$$

Hence

$$w_1 - w_1' \in W_1 \cap W_2$$
  
 $w_2 - w_2' \in W_1 \cap W_2$ 

But  $W \cap W' = \{0_V\}$  and it follows that  $w_1 = w'_1$  and  $w_2 = w'_2$ .

#### 11. Claim.

Let V be a vector space and  $W_1, W_2 \triangleleft V$ . Then

$$\langle W_1 \cup W_2 \rangle = W_1 + W_2.$$

Proof.

Clearly

$$W_1 + W_2 \subseteq \langle W_1 \cup W_2, \rangle.$$

Let  $x \in W_1 \cup W_2$ . If  $x \in W_1$  then

$$x = w_1 + 0$$
$$\in W_1 + W_2$$

Similarly If  $x \in W_1$  then

$$x \in W_1 + W_2$$
.

It follows that

$$W_1 \cup W_2 \subseteq W_1 + W_2$$

and by (1):

$$\langle W_1 \cup W_2 \rangle \subseteq \langle W_1 + W_2 \rangle$$
$$= W_1 + W_2$$

#### 12. Claim.

Let V be a finite dimensional vector spacen and  $W_1, W_2 \triangleleft V$ . Then

$$\dim(W_1 + W_2) = \dim W_1 + \dim W_2 - \dim W_1 \cap W_2 \quad (2)$$

Proof.

Let  $\alpha \in \mathcal{B}(W_1 \cap W_2)$ . Extend  $\alpha$  to bases

$$M = \alpha \cup \beta \in \mathcal{B}(W_1)$$

$$N = \alpha \cup \gamma \in \mathcal{B}(W_2).$$

We Claim.

$$\alpha \cup \beta \cup \gamma \in \mathcal{B}(W_1 + W_2).$$

Clearly,  $\alpha \cup \beta \cup \gamma$  is a spanning set.

Let

$$\alpha = \{\alpha_1, \alpha_2, \ldots\}$$
$$\beta = \{\beta_1, \beta_2, \ldots\}$$
$$\gamma = \{\gamma_1, \gamma_2, \ldots\}$$

If

$$\sum a_i \alpha_i + \sum b_j \beta_j + \sum c_k \gamma_k = 0$$

then

$$W_1\ni \sum a_i\alpha_i + \sum b_j\beta_j = -\sum c_k\gamma_k \in W_2.$$

Hence

$$\sum a_i \alpha_i + \sum b_j \beta_j \in W_1 \cap W_2$$

and so

$$\sum a_i \alpha_i + \sum b_j \beta_j = \sum d_l \alpha_l.$$

i.e.,

$$\sum d_l \alpha_l - \sum a_i \alpha_i - \sum b_j \beta_j = 0.$$

Since  $\alpha \cup \beta$  is an independent set, it must be that

$$b_i = 0, \forall i$$
.

Similarly

$$c_k = 0, \forall k.$$

Hence

$$\sum a_i \alpha_i = 0.$$

But  $\alpha$  is an independent set and so

$$a_i = 0, \forall i.$$

Hence  $\alpha \cup \beta \cup \gamma$  is an independent set and it follows that

$$\alpha \cup \beta \cup \gamma \in \mathcal{B}(W_1 + W_2).$$

Therefore,

$$\dim(W_1 + W_2) = |\alpha \cup \beta \cup \gamma|$$
$$= |M \cup N|$$
$$= |M| + |N| - |M \cap N|$$

and so

$$\dim(W_1 + W_2) = \dim W_1 + \dim W_2$$
$$-\dim W_1 \cap W_2.$$

### 13. Example.

Let  $e_1, e_2, e_3$  be the standard basis for  $\mathbb{R}^3$ . Consider the subspaces

$$W_1 = \langle e_1, e_2 \rangle$$
$$W_2 = \langle e_2, e_3 \rangle.$$

Then

$$W_1 \cap W_2 = \langle e_2 \rangle$$

has dimension 1. Hence, by (3)

$$\dim(W_1 + W_2) = 2 + 2 - 1 = 3.$$

## 14. Example.

Let  $e_1, e_2, e_3, e_4$  be the standard basis for  $\mathbb{R}^4$ . Consider the subspaces

$$W_1 = \langle e_1, e_2 \rangle$$
$$W_2 = \langle e_3, e_4 \rangle.$$

Notice that the planes  $W_1$  and  $W_2$  intersect in a single point O and equation (3) yields

$$\dim(W_1 + W_2 = 4.$$

15. Let V be a finite dimensional vector space. and  $W_1 \lhd V$  a subspace. Then there exists  $W_2 \lhd V$  such that

$$W_1 \oplus W_2 = V$$
.

Proof.

Let

$$\alpha = \alpha_1, \alpha_2, \dots \alpha_k$$

be a basis for  $W_1$ . Extend  $\alpha$  to a basis

$$\alpha_1, \ldots \alpha_k, \alpha_{k+1}, \ldots \alpha_n$$

for V. Let  $W_2 = \langle \alpha_{k+1}, \dots \alpha_n \rangle$ . Clearly

$$V = W_1 + W_2$$
.

We claim that

$$W_1 \cap W_2 = \{\emptyset\}.$$

Let  $x \in W_1 \cap W_2$ . Then, there exist constants  $a_1, a_2, \ldots, a_n$  such that

$$x = \sum_{i=1}^{k} a_i \alpha_i = \sum_{i=k+1}^{n} a_i \alpha_i.$$

Hence,

$$\sum_{i=1}^{k} a_i \alpha_i + \sum_{i=k+1}^{n} (-a_i) \alpha_i = 0.$$

Since the  $\alpha_i$  are independent,  $a_i = 0$  for all i and so  $x = O_V$ .

Remark. In the special case,  $V = \mathbb{R}^n$  if  $W \triangleleft \mathbb{R}^n$  has an orthonormal basis

$$\alpha_1, \ldots \alpha_k$$

and

$$\alpha_1, \ldots \alpha_k, \alpha_{k+1}, \ldots \alpha_n$$

is an orthonormal extension to a basis for  $\mathbb{R}^n$ , then

$$\langle \alpha_{k+1}, \dots \alpha_n \rangle$$

is a basis for the orthogonal subspace  $W^{\perp}$ .

16. Let V and W be finite dimensional vector spaces and  $L:V\to W$  a linear map. Then there exists a supplementary subspace  $P\lhd V$  such that

$$P \oplus \ker L = V$$
.

Proof.

Let

$$\{w_1, w_2, \dots w_k\}$$

be a basis for Im L. Then there exist vectors  $v_1, v_2 \dots v_k \in V$  such that

$$L(v_i) = w_i, \quad 1 \le i \le k.$$

We Claim.

that the  $v_i$  comprise an independent set. Suppose, to the contrary, that there exist scalars  $c_1, c_2, \ldots, c_k$ , not all zero, such that

$$\sum_{i=1}^{k} c_i v_i = 0$$

then

$$L\left(\sum_{i=1}^{k} c_i v_i\right) = \sum_{i=1}^{k} c_i L_i(v_i)$$
$$= \sum_{i=1}^{k} c_i w_i$$
$$= 0.$$

Contradicting the independence of the  $w_i$ . Let

$$P = \langle v_1, v_2, \dots v_k \rangle.$$

We claim that

$$P \oplus \ker L = V$$
.

If  $v \in V$  then  $L(v) \in \operatorname{Im} L$  and so there exist constants  $a_i$  such that

$$L(v) = \sum a_i w_i$$

$$= \sum a_i L v_i$$

$$= L\left(\sum a_i v_i\right).$$

Hence

$$L\left(v - \sum a_i v_i\right) = 0.$$

and so

$$v \in \langle v_1, v_2, \dots, v_k \rangle + \ker L$$
  
=  $P + L$ .

It remains to show that

$$P \cap \ker L = \{0_V\}.$$

Let  $x \in P \cap \ker L$ . Then there exist constants  $d_i$  such that  $x = \sum d_i v_i$  and

$$L(x) = L\left(\sum d_i v_i\right)$$

$$= \sum d_i L(v_i)$$

$$= \sum d_i w_i$$

$$= 0_V$$

By independence of the  $w_i$  we have that  $d_i = 0$  for all i and so x = 0. Hence

$$P \oplus \ker L = V$$
.

17. Corollary.

$$\dim(\ker L) + \dim(\operatorname{Im} L) = \dim V.$$
 (3)

18. Exercise.

Let  $L: V \to W$  be a linear map. Show that the following are equivalent:

- (a) L is injective.
- (b)  $\ker L = \{O_V\}.$
- 19. Claim.

Let  $L: V \to W$  be a linear map. Show that

(a) If L is injective, then

$$\dim(V) \leq \dim W$$
.

(b) If L is surjective then

$$\dim(V) \ge \dim W$$
.

Proof.

Follows immediately from (3) by noting that if L is injective, then

$$\dim(\ker L) = 0$$

whereas if L is surjective

$$\dim(\operatorname{Im} L) = \dim(W).$$

# Exercises

- 1. Which of the following sets are subspaces of  $\mathbb{R}^3$ ? Justify your answer.
  - (a)  $\{(a, b, c)|2a b + c = 0\}$
  - (b)  $\{(0,0,0)\}$
  - (c)  $\{(x, y, z)|z + xy = 0\}$
  - (d)  $\{(x, y, z | x + y = 0)\}$
  - (e)  $\{(a,b) \in \mathbb{R}^2 : b = a^2\}$

- 2. Let  $\vec{u}$ ,  $\vec{v}$ ,  $\vec{w}$  be vectors. Suppose  $\vec{u}$  lies in the span of  $\vec{v}$ , and  $\vec{w}$ . Show that  $\{\vec{v}, \vec{w}\}$  spans the same subspace as  $\{\vec{u}, \vec{v}, \vec{w}, \}$ .
- 3. Let S be a subspace of  $\mathbb{R}^n$ . Define

$$S^{\perp} = \{ x \in \mathbb{R}^n : \langle x, s \rangle = 0 \quad \forall s \in S \}$$

Show that  $S^{\perp}$  is a subspace of  $\mathbb{R}^n$ .

4. Let W and W' be subspaces of a vector space V. Show that  $W \cap W'$  is a subspace of V.

- 5. Show that the intersection of any family of subspaces of a vector space V is a subspace.
- 6. Let W be the set of solutions of the differential equation x'' + x = 0.
  - (a) Show that the set  $\{\sin, \cos\}$  is a linearly independent subset of the vector space V of functions with derivatives of all orders in  $\mathbb{R}$ .
  - (b) Let

$$f(t) = \sin t$$
  
$$g(t) = \cos(t + \pi/2)$$

Show that  $\{f, g\}$  is a linearly dependent subset of W.

7. Let V be a finite dimensional vector space and  $W_1, W_2$  subspaces of V. Show that

$$\dim(W_1 + W_2) = \dim W_1 + \dim W_2$$
$$-\dim W_1 \cap W_2$$

8. Let V be a vector space and let W be the set of all subspaces of V. Let  $A \subseteq V$ . The closure  $\bar{A}$  of A is the set

$$\bar{A} = \bigcap \{ W \in \mathcal{W} : A \subseteq W \}$$

Show that  $\bar{A}$  is a subspace. Show also that:

- (a)  $A \subseteq B \implies \bar{A} \subseteq \bar{B}$  (Isotonicity)
- (b)  $A \subseteq \bar{A}$  (Extensivity)
- (c)  $\bar{A} = \bar{A}$  (Idempotence)
- 9. Let V be a vector space and  $A \subseteq V$ . Show that

$$\bar{A} = \langle A \rangle$$

10. Exercise.

Let  $L: V \to W$  be a linear map. Show that if  $\dim(V) = \dim(W)$ , then L is injective if and only if it is surjective.

11. Exercise.

Let  $L:V\to W$  be a linear map. If  $v,v'\in V$  define

$$v \sim v' \iff L(v) = L(v').$$

- (a) Show that  $\sim$  is an equivalence relation.
- (b) Show that the equivalence classes of  $\sim$  are the distinct cosets of the kernel of L.

(Recall that if  $x \in V$  the *coset* determined by x is the set  $\{x + k : k \in \ker L\}$ .)